

Appendix 1b

Risk Register Summary

Total Risks	New	Improving	Deteriorating	Stable	Deleted
28	0	3	2	23	0

Risk Rating	Count
Very High	-
High	2
Medium	20
Low	5
Insignificant	1

Ref	Type	Name	Rating
17	People & Culture	Resources	↓
7	Governance Legal & Compliance	Discharge of responsibilities and accountabilities	↔
16	People & Culture	Recruitment & retention	↓
25	Governance Legal & Compliance	Best practice governance	↔
28	Governance Legal & Compliance	Conflicts of Interest	↑
2	Information Security IT & Data	Data Management	↔
29	Strategic	Unplanned Expenditure	↔
9	Business Operations	Business Interruption	↔
6	Business Operations	Investment operations errors	↔
19	Investment Management	Investment Strategy	↔
18	Strategy	Climate change & Responsible Investing	↔
11	Information Security IT & Data	Cybersecurity	↔
4	Governance Legal & Compliance	Regulatory breach	↔
10	Information Security IT & Data	IT systems	↔
24	Information Security IT & Data	Data integrity and quality	↔
21	Strategy	Strategic Goals	↔
1	Investment Management	Investment Performance	↔
27	Business Operations	Operational errors	↔
8	Business Operations	Investment services delivery	↔
5	Scheme	Employer failure	↔
20	Business Operations	Communications	↑
13	Business Operations	Supplier performance and oversight	↓
12	Business Operations	Project and change activities	↔
14	Business Operations	Fraud by LPF staff or relating to members	↔
23	Scheme	Unexpected drop in funding	↔
22	Scheme	Meeting liabilities or capital requirements	↔
15	Business Operations	Member engagement, servicing and reporting	↔
3	Conduct	Unauthorised activity or poor conduct	↔