

REPORT

Escalation Report

Edinburgh Integration Joint Board

28 February 2023

Executive Summary	<p>The purpose of this report is to highlight two separate issues that require consideration and agreement by the Edinburgh Integration Joint Board (EIJB).</p> <p>The first issue relates to the appointment of Climate Change Champions that was discussed and highlighted as a gap at Performance and Delivery Committee on 30 November 2022.</p> <p>The second issue relates to the appointment of a EIJB Chief Risk Officer which was discussed at Audit and Assurance Committee on the 5 December 2022.</p>
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Recommendations	<p>It is recommended that the Edinburgh Integration Joint Board:</p> <p>Climate Change Champions</p> <ol style="list-style-type: none"> 1. Agree to appoint two Climate Change Champions. 2. Agree that interested Board Members should contact the Chair in the first instance. <p>Chief Risk Officer</p> <ol style="list-style-type: none"> 3. Consider the recommendation of the Audit and Assurance Committee to proceed with the recruitment to the Chief Risk Officer on a part time basis. 4. In doing so, note that there is no identified IJB budget for this role and that the costs would have to be identified from within existing resources.
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Directions

Direction to City of Edinburgh Council,	No direction required	✓
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NHS Lothian or both organisations	Issue a direction to City of Edinburgh Council	
	Issue a direction to NHS Lothian	
	Issue a direction to City of Edinburgh Council and NHS Lothian	

Report Circulation

1. This report has not been circulated to any governance committees prior to submission to the Edinburgh Integration Joint Board.
2. A report on the Climate Change Duties, including reference to Climate Change Champions was submitted to Performance and Delivery Committee on 30 November 2022.
3. A report on the Chief Risk Officer was presented to the Audit and Assurance Committee on the 5 December 2022.

Main Report

Climate Change Champions

4. In 2021, the EIJB agreed a Climate Change Charter, which outlines the EIJB commitment, pledges of support and changes to business practices which helps Edinburgh meet its net zero carbon emission target by 2030. The charter also committed to appointing two Climate Change Champions.
5. The role of the Climate Change Champion is to help make sustainable change happen, play a vital part in the EIJB's engagement with partners and help change culture and working practices across all areas of the Edinburgh Health and Social Care Partnership.
6. Two previous EIJB members were nominated as Climate Change Champions, however they have now resigned from the EIJB, therefore the Performance and Delivery Committee on 30 November as part of discussion on the Climate Change Duties asked that this issue is raised at EIJB and for two new Climate Change Champions to be appointed.
7. It is proposed that any EIJB members interested in the Climate Change Champion role, should contact the Chair of the EIJB in the first instance.

Chief Risk Officer

8. Section 14.2 of the updated Integration Scheme specifies that both NHS Lothian and City of Edinburgh Council will provide the EIJB with sufficient support to enable it to fully discharge its duties in relation to risk management.
9. Since 2017, the Chief Finance Officer (CFO) has undertaken the role of Chief Risk Officer (CRO), alongside their CFO role. The previous Chair of Audit and Assurance Committee (AAC) has raised the nature of the dual role and that this could be seen as a potential conflict of interest.
10. The Chair of the AAC has raised the potential conflict of interest with the Chair of the Edinburgh Integration Joint Board (EIJB) who has subsequently written to the Chief Executives of NHS Lothian and City of Edinburgh Council requesting resource from partners to fulfil the CRO role. Both Chief Executives have responded to this request, advising that no resources will be provided to the EIJB to undertake the CRO role.
11. The Internal Audit report into EIJB risk management arrangements highlighted that the good progress has been made in developing the EIJB's risk management framework with an effective audit outcome. This demonstrates that the CFO has been effective in the CRO role. It should also be highlighted that, to date, the potential conflict of interest has not materialised through EIJB risk cards, any findings from internal audit reports or escalated to the EIJB for resolution.
12. A report was presented to Audit and Assurance Committee on 5 December 2022 highlighting the options available to resolve the issue with the CRO:
 - a. Continue with the dual role of CFO and CRO or;
 - b. Recommend that the EIJB agree to the post look to fund the CRO role on a part time basis.
13. None of the other Lothian IJBs have a dedicated CRO, and, as in Edinburgh this function is combined within other roles. For Midlothian IJB, the CRO role is undertaken by the Council risk team, the IJB CFO in East Lothian undertakes the CRO role and the IJB Internal Auditor also undertakes the Risk Manager role for West Lothian EIJB.
14. To give an indication of the costs were the EIJB to approve this and, based on a grade 12, City of Edinburgh Council salary, this would be in the region of £103,994 (£79347 + 31% oncosts), however as this post would be a part time role (likely to be one day per week), this salary would be pro rata.

15. The AAC at its meeting on 5 December 2022 had a lengthy discussion about the two options. Most members of AAC agreed to recommend the recruitment to a Chief Risk Officer on a part time basis, however they did recognise the significant financial pressures facing the EIJB. It should be highlighted that there is no funding source available for this post and would be added to the current financial savings target.
16. It was also recognised by the Audit and Assurance Committee that there is likely to be difficulties in recruiting to this role on a part time basis which is likely to be 1 day per week.
17. As the Audit and Assurance Committee is not a decision making committee, the proposal is presented to EIJB to endorse the decision to recruit to the Chief Risk Officer role on a part time basis and agree the relevant costs associated with this.

Implications for Edinburgh Integration Joint Board

Financial

18. There are no direct financial impacts relating to the appointment of the Climate Change Champions.
19. In relation to the recruitment to the Chief Risk Officer, a job description would need to be drafted and progressed through job evaluation to provide a pay banding which would determine the exact financial implication to the EIJB. However, to give an indication of the costs, based on a grade 12 City of Edinburgh Council salary, this would be in the region of £103,994 (£79347 + 31% oncosts), however as this post would be a part time role, this salary would be pro rata.

Legal / risk implications

20. By not appointing two EIJB Climate Champions, there could be a risk that progress to meet the 2030 net zero target is not achieved due to the lack of culture change in relation to climate change and / or climate change opportunities are not exploited.
21. In relation to risk management arrangements, the current arrangements in place are effective, however as the current CFO undertakes the CRO role as well, there is a potential conflict of interest which may increase the risk to the EIJB, however this risk has not materialised since 2017.

Equality and integrated impact assessment

22. As this report provides an update on a range of arrangements already in place, an equalities and integrated impact assessment is not required for this report as it will have been undertaken as part of the development of the workstreams contained within this report.

Environment and sustainability impacts

23. The work undertaken as part of the EIJB climate change duties as well as the appointment of Climate Change Champions will positively address the impact the EIJB may have on the environment.
24. There are no direct impacts arising from the appointment of a CRO within the EIJB.

Quality of care

25. The content of this report does not directly impact either positively or negatively on quality of care.

Consultation

26. The proposals presented with the papers have been considered and scrutinised at committees prior to submission to the EIJB.

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Background Reports

None

Appendices

None